



RISK DISCLOSURE POLICY

Neex Securities LLC
Dubai - UAE



Never-ending
Excellence



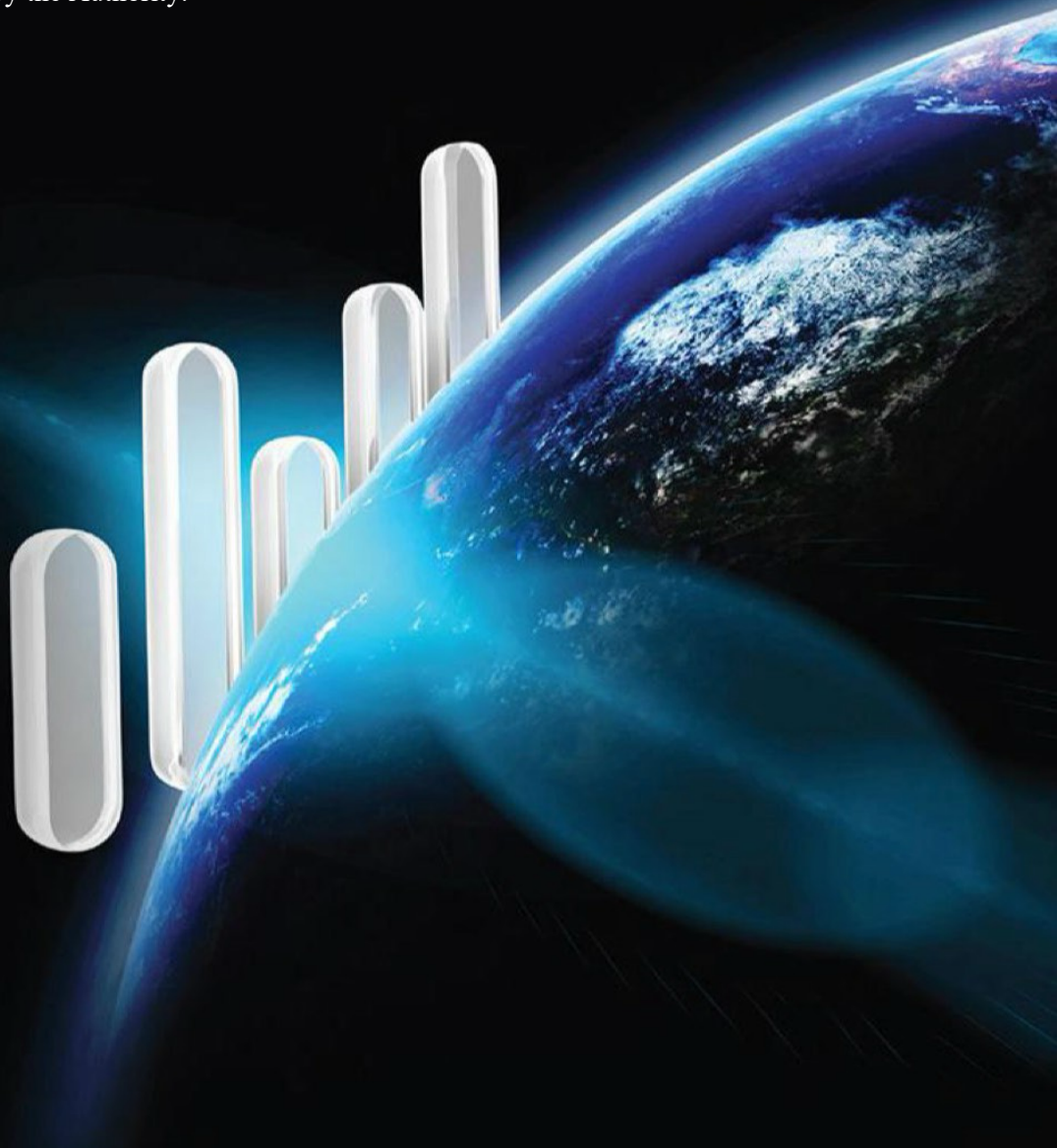
RISK DISCLOSURE POLICY

By selecting this checkbox and submitting this Agreement, the Client, whether acting directly or through its duly authorized representative, expressly acknowledges and agrees that such action shall constitute a valid, enforceable, and legally binding signature. This electronic acceptance shall have the same legal effect as a handwritten signature, in accordance with the applicable laws and regulations of the United Arab Emirates (UAE), including those governing electronic transactions and electronic signatures.

The Client acknowledges and agrees that acceptance of the terms and conditions of this Agreement through electronic means, including but not limited to online acceptance, digital signature, electronic confirmation, or any other electronic authentication method approved by the Company, shall constitute valid execution of this Agreement.

For the purposes of this Agreement, the Client shall be deemed to have validly accepted and agreed to be bound by this Agreement upon confirming acceptance of the onboarding and introduction process, together with the related terms and conditions, through the Company's electronic onboarding system. Each Party agrees that electronically stored records of this Agreement shall be admissible as evidence and shall be deemed valid and enforceable to the fullest extent permitted by applicable law.

NEEX SECURITIES LLC (hereinafter referred to as the "Company") is a limited liability company duly incorporated under the laws of the United Arab Emirates. licensed and regulated by the Capital Market Authority (CMA) to conduct the licensed activities of Introduction and Promotion only (Category 5) License No. 20200000331, in accordance with the applicable regulations issued by the Authority.





RISK DISCLOSURE POLICY

1.0 Introduction

Neex Securities LLC is committed to maintaining high standards of transparency and professionalism in its dealings with clients in the United Arab Emirates. The purpose of this Risk Disclosure Policy is to provide clients with a clear understanding of the potential risks associated with financial markets and the services offered by the Company, particularly in relation to its activities as an introducer and promoter of brokerage services. Clients are strongly encouraged to fully understand the nature of financial market risks before making any investment decisions. This document outlines key considerations that should be taken into account prior to engaging in any trading or investment activity.

2.0 Financial Advice and Information Disclaimer

Trading in financial markets involves significant risks, including the potential loss of all or part of the invested capital. Certain products, including but not limited to equities, derivatives, foreign exchange, and structured instruments, may carry a high level of risk and may not be suitable for all investors. Trading may be subject to fees, commissions, charges, and tax implications as applicable under relevant laws and regulations. Prior to engaging in any investment activity, clients should carefully assess their financial situation, trading objectives, and risk tolerance, and ensure that they fully understand the risks involved. Independent professional advice from a licensed financial advisor should be obtained where appropriate.

3.0 No Assurance of Profitability

Any information, analysis, or general guidance provided by the Company is intended to support informed decision-making. However, no representation or guarantee is made regarding the achievement of profits or the avoidance of losses. Past performance should not be relied upon as an indicator of future results.

4.0 Client Responsibility

While the Company may provide general market insights or information, all investment decisions remain the sole responsibility of the client.

The Company does not accept liability for any financial outcomes resulting from decisions made by clients, whether based on information provided by the Company or otherwise.

5.0 Market Volatility and Uncertainty

Financial markets are subject to rapid and unpredictable changes influenced by a variety of factors, including economic conditions, geopolitical developments, and regulatory changes.

As a result, market conditions may evolve quickly, and previously relevant analyses or information may become outdated.

6.0 Differences in Opinions and Forecasts

Market commentary and analysis may vary between sources, and projections or forecasts are inherently uncertain.

Clients should be aware that forward-looking statements are based on assumptions that may not materialize.



7.0 General Information May Not Be Personalised

From time to time, the Company may provide general market commentary, educational content, or insights through its platforms.

Such information is not tailored to individual client circumstances and does not take into account specific factors such as:

- financial situation;
- investment objectives;
- or risk tolerance.

Clients should not treat such information as personalised financial advice.

8.0 Limitations of Technology

The Company shall not be held liable for any financial losses, missed opportunities, or damages resulting from the failure of hardware, software, or system servers. Clients acknowledge that disruptions in internet connectivity, power outages, or communication line failures may lead to delays or the inability to access commentaries or market data.

While the Company strives to provide accurate insights, it does not guarantee that market commentary or data updates will be delivered in real-time. Delays in information updates may occur, and the Company is not responsible for any decisions made based on outdated data. Since the Company does not operate or manage trading accounts or provide pricing, it assumes no responsibility for the technical performance, glitches, or security breaches of third-party brokerage platforms.

9.0 Nature of the Company's Services

Neex Securities LLC operates as a Category-5 licensed entity (Introduction and Promotion) and provides services limited to introducing clients to third-party brokerage firms.

10.0 Business Relationships and Compensation

The Company may maintain commercial relationships with certain brokerage firms, including referral or marketing arrangements.

Under such arrangements, the Company may receive compensation, including commissions or referral fees, where clients choose to open accounts with such firms.

These arrangements do not affect the Company's commitment to transparency or its objective of introducing clients to brokerage firms that it reasonably believes to be reputable and appropriately regulated.

11.0 No Control Over Client Accounts or Execution

The Company does not:

- operate or manage client trading accounts;
- execute trades;
- provide pricing;
- or hold client funds.

All such services are provided by third-party brokerage firms with whom the client enters into a direct contractual relationship.

Neex Securities L.L.C.

Is registered with Dubai Economy and Tourism
License No: 1329462 TRN: 104257348300003.

Registered Address

1701 - 1702 Vision Tower, Business Bay,
PARCEL ID: 346-466, Dubai, UAE.



12.0 Independent Relationship with Third-Party Brokers

Clients acknowledge that any trading account opened is held with the third-party broker, and all transactions are conducted directly with that broker.

The Company is not a party to the client's trading agreement with the broker and does not assume responsibility for the broker's actions, services, or performance.

13.0 Encouragement of Independent Decision-Making

Clients are strongly encouraged to:

- conduct their own independent research;
- review all legal documentation provided by third-party brokers, including client agreements, terms and conditions, and privacy policies;
- and seek independent financial advice where appropriate.

Engaging in financial markets involves risk, and clients should ensure that they fully understand those risks before proceeding.

Contact details: support@neexae.com and/or compliance@neexae.com

LAST PAGE OF THE POLICY