



TERMS OF BUSINESS

Neex Securities LLC
Dubai - UAE



Never-ending
Excellence



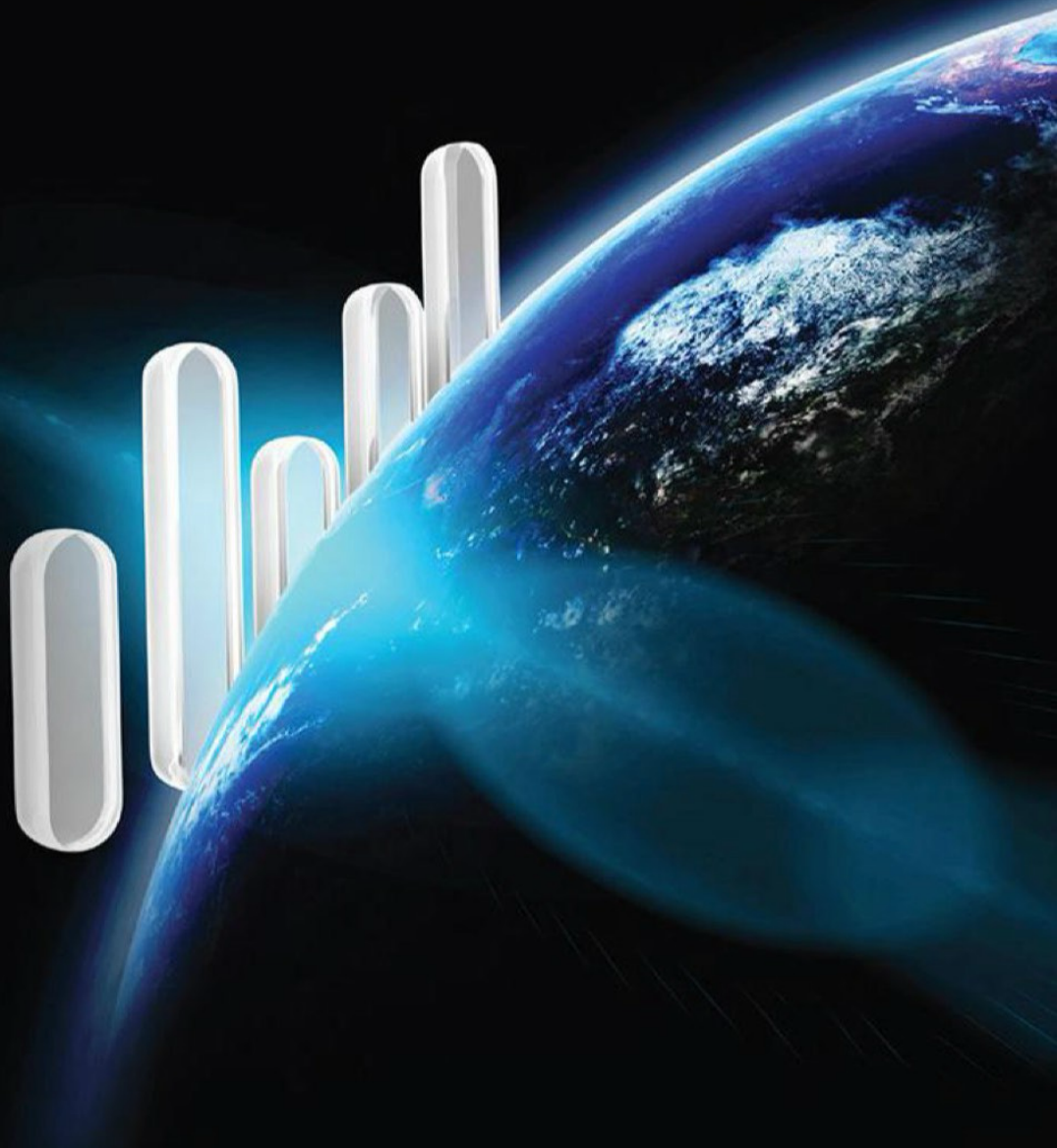
TERMS OF BUSINESS

By selecting this checkbox and submitting this Agreement, the Client, whether acting directly or through its duly authorized representative, expressly acknowledges and agrees that such action shall constitute a valid, enforceable, and legally binding signature. This electronic acceptance shall have the same legal effect as a handwritten signature, in accordance with the applicable laws and regulations of the United Arab Emirates (UAE), including those governing electronic transactions and electronic signatures.

The Client acknowledges and agrees that acceptance of the terms and conditions of this Agreement through electronic means, including but not limited to online acceptance, digital signature, electronic confirmation, or any other electronic authentication method approved by the Company, shall constitute valid execution of this Agreement.

For the purposes of this Agreement, the Client shall be deemed to have validly accepted and agreed to be bound by this Agreement upon confirming acceptance of the onboarding and introduction process, together with the related terms and conditions, through the Company's electronic onboarding system. Each Party agrees that electronically stored records of this Agreement shall be admissible as evidence and shall be deemed valid and enforceable to the fullest extent permitted by applicable law.

NEEX SECURITIES LLC (hereinafter referred to as the "Company") is a limited liability company duly incorporated under the laws of the United Arab Emirates (UAE). Licensed and regulated by the Capital Market Authority (CMA) to conduct the licensed activities of Introduction and Promotion only (Category 5); CMA license No. 20200000331.





TERMS OF BUSINESS

1. Our Activities Under Category-5 Services

1.1 Nature of Services

Neex Securities LLC provides financial services as an intermediary under its Category-5 license (Introduction and Promotion). The Company facilitates the introduction of clients to third-party entities (“Platform Providers”), which are independently regulated in their respective jurisdictions as brokers, custodians, or financial service providers.

1.2 Introduction to Platform Providers

The Company may assist clients in initiating relationships with Platform Providers, including facilitating the account opening process. Any account opened will be held directly with the Platform Provider, enabling the client to access financial instruments available through that provider’s platform.

1.3 Limitation of Services

The Company’s role is strictly limited to:

- introducing clients to Platform Providers;
- providing general information about available services and market products;

The Company does not:

- provide financial, investment, or advisory services;
- manage client accounts;
- execute transactions;
- or provide pricing.

1.4 Platform Provider Terms

Any application submitted to a Platform Provider is subject to that provider’s own terms and conditions.

Clients acknowledge that:

- the Platform Provider may request documentation (including KYC information);
- acceptance or rejection of applications is at the sole discretion of the Platform Provider;

1.5 Acceptance of Third-Party Terms

By proceeding with account opening, clients confirm that they have read and accepted the terms and policies of the Platform Provider.



2. Client Acknowledgements and Disclaimers

2.1 General Acknowledgements

By using the Company's services, the client acknowledges that:

(a) The introduction provided by the Company does not create any agency, partnership, or joint venture relationship between the Company and any Platform Provider.

(b) Once an account is opened, the relationship between the client and the Platform Provider is governed exclusively by the Platform Provider's terms and conditions.

(c) Any information, data, or materials provided by the Company or its representatives are for informational and educational purposes only and do not constitute financial, investment, tax, legal, or regulatory advice.

2.2 Due Diligence Responsibility

The Company does not undertake due diligence on behalf of clients regarding the products or services offered by Platform Providers.

Clients are solely responsible for:

- assessing the suitability of any Platform Provider;
- understanding associated risks;
- and conducting their own independent evaluation.

2.3 Role Limitation

The Company's involvement is limited to the introduction process.

Following the introduction, the Company does not participate in:

- trading activity;
- account management;
- or execution of transactions.

2.4 Risk Acknowledgement

Clients acknowledge that trading in financial instruments, including margin trading, involves a high level of risk and may result in substantial losses. Clients should ensure they fully understand these risks before engaging with any Platform Provider.

2.5 Transparency of Commercial Relationships

The Company may maintain commercial relationships with Platform Providers, including referral arrangements. Where applicable, the Company may receive compensation, such as referral fees or commissions. Such arrangements do not affect the Company's obligation to act transparently.



2.6 Information Disclosure

The Company may enter into commercial or operational arrangements with Platform Providers as part of its business activities. Where required under applicable law or regulation, appropriate disclosures will be made.

3. Application of Disclaimer

3.1 Acceptance

By engaging with the Company, the client confirms that they understand and accept the disclaimers outlined in Section 2.

3.2 Independent Review

Clients are strongly advised to:

- carefully review all documentation provided by Platform Providers;
- seek independent professional advice where appropriate;

The Company relies on clients having undertaken such review prior to entering into any agreement with a Platform Provider.

4. Client Funds and Investments

4.1 Handling of Funds

All client funds are transferred directly to the Platform Provider and held in accordance with the laws and regulations applicable to that provider.

4.2 No Custody or Control

The Company does not:

- receive or hold client funds;
- control client accounts;
- or act as custodian of client assets.

All trading activity is conducted directly between the client and the Platform Provider.

Neex Securities L.L.C.

Is registered with Dubai Economy and Tourism
License No: 1329462 TRN: 104257348300003.

Registered Address

1701 - 1702 Vision Tower, Business Bay,
PARCEL ID: 346-466, Dubai, UAE.



5. Publications and Marketing Information

5.1 Nature of Information

Any materials provided by the Company—including reports, commentary, analysis, marketing content, or communications (collectively referred to as “Information”)—are provided solely for:

- informational;
- educational;
- or marketing purposes.

Such Information does not constitute:

- investment advice;
- or a recommendation to buy, sell, or hold any financial instrument.

5.2 Reliance on Information

Clients acknowledge that:

- they do not rely on the Company’s Information as the sole basis for any investment decision;
- the Company does not guarantee the accuracy or completeness of such Information;

Clients are responsible for:

- independently verifying any Information;
- assessing its relevance;
- and seeking appropriate professional advice where necessary.

The Company shall not be liable for any losses arising from reliance on such Information.

Contact details: support@neexae.com and/or compliance@neexae.com

LAST PAGE OF THE T&C